Multicultural Education

Ninth Edition

JAMES A. BANKS | CHERRY A. MCGEE BANKS





Multicultural Education

Multicultural Education

ISSUES AND PERSPECTIVES

Ninth Edition

Edited by

James A. Banks University of Washington, Seattle

Cherry A. McGee Banks University of Washington, Bothell



Vice President & Director	George Hoffman
Executive Editor	Christopher Johnson
Assistant	Wauntao Matthews
Senior Director	Don Fowley
Project Manager	Gladys Soto
Project Specialist	Nichole Urban
Project Assistant	Anna Melhorn
Assistant Marketing Manager	Puja Katariwala
Associate Director	Kevin Holm
Production Editor	Janani Dilip Rogger
Photo Researcher	Billy Ray
Cover Photo Credit	© Maynard Johnny Jr./GarfinkelPublication Inc.

This book was set in 10/12 Times LT Std by SPi Global and printed and bound by Lightning Source Inc.

Founded in 1807, John Wiley & Sons, Inc. has been a valued source of knowledge and understanding for more than 200 years, helping people around the world meet their needs and fulfill their aspirations. Our company is built on a foundation of principles that include responsibility to the communities we serve and where we live and work. In 2008, we launched a Corporate Citizenship Initiative, a global effort to address the environmental, social, economic, and ethical challenges we face in our business. Among the issues we are addressing are carbon impact, paper specifications and procurement, ethical conduct within our business and among our vendors, and community and charitable support. For more information, please visit our website: www.wiley.com/go/citizenship.

Copyright © 2016, 2013, 2010, 2006 John Wiley & Sons, Inc. All rights reserved. No part of this publication may be reproduced, stored in a retrieval system, or transmitted in any form or by any means, electronic, mechanical, photocopying, recording, scanning or otherwise, except as permitted under Sections 107 or 108 of the 1976 United States Copyright Act, without either the prior written permission of the Publisher, or authorization through payment of the appropriate per-copy fee to the Copyright Clearance Center, Inc., 222 Rosewood Drive, Danvers, MA 01923 (Web site: www.copyright.com). Requests to the Publisher for permission should be addressed to the Permissions Department, John Wiley & Sons, Inc., 111 River Street, Hoboken, NJ 07030-5774, (201) 748-6011, fax (201) 748-6008, or online at: www.wiley.com/go/permissions.

Evaluation copies are provided to qualified academics and professionals for review purposes only, for use in their courses during the next academic year. These copies are licensed and may not be sold or transferred to a third party. Upon completion of the review period, please return the evaluation copy to Wiley. Return instructions and a free of charge return shipping label are available at: www.wiley.com/go/returnlabel. If you have chosen to adopt this textbook for use in your course, please accept this book as your complimentary desk copy. Outside of the United States, please contact your local sales representative.

ISBN: 978-1-119-23874-4 (PBK) ISBN: 978-1-119-22211-8 (EVALC)

Library of Congress Cataloging in Publication Data:

Multicultural education : issues and perspectives / edited by James A. Banks, University of Washington, Seattle, Cherry A . McGee Banks, University of Washington, Bothell. — Ninth edition.

pages cm Includes index. ISBN 978-1-119-23874-4 (pbk.) Multicultural education – United Si

Multicultural education—United States.
 Minorities—Education—United States.
 Educational equalization—United States.
 Banks, James A. II. Banks, Cherry A. McGee.

LC1099.3.M85 2015 370.117—dc23

2015027991

Printing identification and country of origin will either be included on this page and/or the end of the book. In addition, if the ISBN on this page and the back cover do not match, the ISBN on the back cover should be considered the correct ISBN.

Printed in the United States of America

10 9 8 7 6 5 4 3 2 1

Brief Contents

	Preface	xvii
Part 1	Issues and Concepts	1
	1 Multicultural Education: Characteristics and Goals by James A. Banks	2
	2 Culture, Teaching, and Learning by Christina Convertino, Bradley A. Levinson, and Norma González	24
Part 2	Social Class and Religion	41
	3 Social Class and Education by Lois Weis	42
	4 Christian Nation or Pluralistic Culture: Religion in American Life by Charles H. Lippy	59
Part 3	Gender	79
	5 Gender Bias: From Colonial America to Today's Classroom by David Sadker and Karen Zittleman	81
	6 Classrooms for Diversity: Rethinking Curriculum and Pedagogy by Mary Kay Thompson Tetreault	98
	7 Understanding and Supporting Gender Equity in Schools <i>by Diane S. Pollard</i>	115
	8 Queer Lessons: Sexual and Gender Minorities in Multicultural Education	132
	by Cris Mayo	
Part 4	Race, Ethnicity, and Language	149
	9 Approaches to Multicultural Curriculum Reform by James A. Banks	151
	10 Backstage Racism: Implications for Teaching by Leslie H. Picca and Ruth Thompson-Miller	171

11 Language Diversity and Schooling by Manka M. Varghese	188
Part 5 Exceptionality	211
12 Educational Equality for Students with Disabilities by Sara C. Bicard and William L. Heward	213
13 Culturally Responsive Special Education in Inclusive Schools by Luanna H. Meyer, Hyun-Sook Park, Jill M. Bevan-Brown, and Catherine Savage	235
Part 6 School Reform and Classroom Assessment	257
14 School Reform and Student Learning: A Multicultural Perspective	258
by Sonia Nieto and Patty Bode	
15 Communities, Families, and Educators Working Together for School Improvement	275
by Cherry A. McGee Banks	
16 Classroom Assessment and Diversity	295
by Catherine S. Taylor and Susan B. Nolen	
Appendix: Multicultural Resources	309
Glossary	315
Contributors	321
Index	325

Contents

Pre	Preface	
Par	t 1 Issues and Concepts	1
1	Multicultural Education: Characteristics and Goals	2
	by James A. Banks	
	1.1. The Nature of Multicultural Education	2
	1.2. The Historical Development of Multicultural Education	3
	1.2.1 How Multicultural Education Developed	5
	1.3. The Nature of Culture in the United States	5
	1.3.1. The Meaning of Culture	5
	1.3.2. Identification and Description of the U.S. Core Culture	6
	1.3.3. Equality	6
	1.3.4. Individualism and Individual Opportunity	7
	1.3.5. Individualism and Groupism	7
	1.3.6. Expansionism and Manifest Destiny	7
	1.3.7. Microcultures in the United States	8
	 Part 1 Issues and Concepts Multicultural Education: Characteristics and Goals by James A. Banks The Nature of Multicultural Education The Historical Development of Multicultural Education The Historical Development of Multicultural Education The Nature of Culture in the United States The Nature of Culture in the United States The Nature of Culture in the United States I.a. The Meaning of Culture I.a. Identification and Description of the U.S. Core Culture I.a. Equality I.a. Identification and Groupism I.a. Expansionism and Manifest Destiny I.a. Microcultures in the United States I.a. Groups and Group Identification I.a. The Interaction of Race, Class, and Gender 1.4 The Social Construction of Categories Gender Sexual Orientation Race Sexual Orientation Exceptionality 15 The Dimensions of Multicultural Education Content Integration The Dimensions of Multicultural Education Prejudice Reduction An Equity Pedagogy An Equity Pedagogy An Empowering School Culture and Social Structure 	10
 by James A. Banks 1.1. The Nature of Multicultural Education 1.2. The Historical Development of Multicultural Education 1.2. The Historical Development of Multicultural Education 1.2.1 How Multicultural Education Developed 1.3. The Nature of Culture in the United States 1.3.1. The Meaning of Culture 1.3.2. Identification and Description of the U.S. Core Culture 1.3.3. Equality 1.3.4. Individualism and Individual Opportunity 1.3.5. Individualism and Groupism 1.3.6. Expansionism and Manifest Destiny 1.3.7. Microcultures in the United States 1.3.8. Groups and Group Identification 1.3.9. The Teaching Implications of Group Identification 1.3.10. The Interaction of Race, Class, and Gender 1.4. Beocial Construction of Categories 1.4.1. Gender 1.4.2. Sexual Orientation 1.4.3. Race 1.4.4. Social Class 1.4.5. Exceptionality 1.5 The Dimensions of Multicultural Education 1.5.1. Content Integration 1.5.2. The Knowledge Construction Process 1.5.3. Prejudice Reduction 1.5.4. An Equity Pedagogy 1.5.5. An Empowering School Culture and Social Structure 1.6 The School as a Social System 	11	
	1.3.10. The Interaction of Race, Class, and Gender	12
	1.4 The Social Construction of Categories	13
	1.4.1. Gender	13
	1.4.2. Sexual Orientation	13
	1.4.3. Race	13
	1.4.4. Social Class	14
	1.4.5. Exceptionality	14
	1.5 The Dimensions of Multicultural Education	15
	•	16
	1.5.2. The Knowledge Construction Process	16
	1.5.3. Prejudice Reduction	17
	1.5.4. An Equity Pedagogy	17
	1.5.5. An Empowering School Culture and Social Structure	18
	1.6 The School as a Social System	19
	Summary	20
	Questions and Activities	20
	References	21

	Culture, Teaching, and Learning	24
	by Christina Convertino, Bradley A. Levinson, and Norma González	
	2.1. Getting to Know Culture: An Overview of Culture's	
	Meanings and Uses	25
	2.2. Some Early Origins of the Construct of Culture	27
	2.3. Culture as Transmission and Adaptation	27
	2.4. Creating Culture: Cultural Transmission and Education	28
	2.5. Culture Change: Cultural Psychology and Cultural Production	29
	2.6. Critiques of Culture	31
	2.7. Culture and Educational Achievement	32
	2.8. Cultural Deficit Models	32
	2.9. Cultural Difference Model and Mismatch Hypothesis	33
	2.10. Educational Achievement: Voluntary versus Involuntary Immigrant Students	34
	2.11. Putting Culture to Work: Culture and Learning in the 21st Century	35
	2.12. Rethinking Learning and Cultural Processes in Education	36
	2.13. Learning in Context: What Teachers Need to Know	36
	2.14. Concluding Remarks	37
	Questions and Activities	38
1	References	
	References	38 41
	References	
	References t 2 Social Class and Religion Social Class and Education by Lois Weis	41 42
	References t 2 Social Class and Religion Social Class and Education by Lois Weis 3.1. Education and the Production of Social and Economic Inequalities	41 42 44
	References t 2 Social Class and Religion Social Class and Education <i>by Lois Weis</i> 3.1. Education and the Production of Social and Economic Inequalities 3.2. Ability Grouping and Tracking	41 42 44 45
	References t 2 Social Class and Religion Social Class and Education <i>by Lois Weis</i> 3.1. Education and the Production of Social and Economic Inequalities 3.2. Ability Grouping and Tracking 3.3. Official Knowledge and Its Distribution	41 42 44 45 47
	References t 2 Social Class and Religion Social Class and Education <i>by Lois Weis</i> 3.1. Education and the Production of Social and Economic Inequalities 3.2. Ability Grouping and Tracking 3.3. Official Knowledge and Its Distribution 3.4. Access and Outcomes in the Postsecondary Sector	41 42 44 45 47 48
	References t 2 Social Class and Religion Social Class and Education <i>by Lois Weis</i> 3.1. Education and the Production of Social and Economic Inequalities 3.2. Ability Grouping and Tracking 3.3. Official Knowledge and Its Distribution 3.4. Access and Outcomes in the Postsecondary Sector 3.5. Research on Class Privilege	41 42 44 45 47 48 50
	References t 2 Social Class and Religion Social Class and Education by Lois Weis 3.1. Education and the Production of Social and Economic Inequalities 3.2. Ability Grouping and Tracking 3.3. Official Knowledge and Its Distribution 3.4. Access and Outcomes in the Postsecondary Sector 3.5. Research on Class Privilege 3.6. Globalizing Our Imagination	41 42 44 45 47 48 50 52
	References t 2 Social Class and Religion Social Class and Education <i>by Lois Weis</i> 3.1. Education and the Production of Social and Economic Inequalities 3.2. Ability Grouping and Tracking 3.3. Official Knowledge and Its Distribution 3.4. Access and Outcomes in the Postsecondary Sector 3.5. Research on Class Privilege 3.6. Globalizing Our Imagination Questions and Activities	41 42 44 45 47 48 50 52 54
	References t 2 Social Class and Religion Social Class and Education by Lois Weis 3.1. Education and the Production of Social and Economic Inequalities 3.2. Ability Grouping and Tracking 3.3. Official Knowledge and Its Distribution 3.4. Access and Outcomes in the Postsecondary Sector 3.5. Research on Class Privilege 3.6. Globalizing Our Imagination	41 42 44 45 47 48 50 52 54
aı 3	References t 2 Social Class and Religion Social Class and Education <i>by Lois Weis</i> 3.1. Education and the Production of Social and Economic Inequalities 3.2. Ability Grouping and Tracking 3.3. Official Knowledge and Its Distribution 3.4. Access and Outcomes in the Postsecondary Sector 3.5. Research on Class Privilege 3.6. Globalizing Our Imagination Questions and Activities	41 42 44 45 47 48 50 52 54 54
3	References t 2 Social Class and Religion Social Class and Education by Lois Weis 3.1. Education and the Production of Social and Economic Inequalities 3.2. Ability Grouping and Tracking 3.3. Official Knowledge and Its Distribution 3.4. Access and Outcomes in the Postsecondary Sector 3.5. Research on Class Privilege 3.6. Globalizing Our Imagination Questions and Activities References Christian Nation or Pluralistic Culture: Religion in American Life by Charles H. Lippy	41 42 44 45 47 48 50 52 54 54 54 54 59
3	References t 2 Social Class and Religion Social Class and Education by Lois Weis 3.1. Education and the Production of Social and Economic Inequalities 3.2. Ability Grouping and Tracking 3.3. Official Knowledge and Its Distribution 3.4. Access and Outcomes in the Postsecondary Sector 3.5. Research on Class Privilege 3.6. Globalizing Our Imagination Questions and Activities References Christian Nation or Pluralistic Culture: Religion in American Life by Charles H. Lippy 4.1. Europeans Plant Christianity in North America	41 44 45 47 48 50 52 54 54 54 54 54
•	References t 2 Social Class and Religion Social Class and Education by Lois Weis 3.1. Education and the Production of Social and Economic Inequalities 3.2. Ability Grouping and Tracking 3.3. Official Knowledge and Its Distribution 3.4. Access and Outcomes in the Postsecondary Sector 3.5. Research on Class Privilege 3.6. Globalizing Our Imagination Questions and Activities References Christian Nation or Pluralistic Culture: Religion in American Life by Charles H. Lippy	41 42 44 45 47 48 50 52 54 54 54 54 59

	4.4. The Spread of Evangelical Protestantism	62
	4.5. Religious Freedom and the Separation of Church and State	63
	4.6. Diversity, Religious Freedom, and the Courts	66
	4.7. Pluralism Becomes the Norm	69
	4.8. New Faces of Pluralism	71
	4.9. Summary and Educational Implications	75
	4.10. Resources	75
	Questions and Activities	76
	References	77
Par	rt 3 Gender	79
5	Gender Bias: From Colonial America to Today's Classroom	81
	by David Sadker and Karen Zittleman	
	5.1. The Hidden Civil Rights Struggle	82
	5.2. Report Card: The Cost of Sexism in Schools	84
	5.3. Gender Bias in Today's Classroom: The Curriculum	86
	5.3.1. Invisibility: What You Don't See Makes	
	a Lasting Impression	87
	5.3.2. Stereotyping: Glib Shortcuts	88
	5.3.3. Imbalance and Selectivity: A Tale Half Told	88
	5.3.4. Unreality: Rose-Colored Glasses	88
	5.3.5. Fragmentation: An Interesting Sideshow	88
	5.3.6. Linguistic Bias: Words Count	88
	5.3.7. Cosmetic Bias: Pretty Wrapping	88
	5.4. Gender Bias in Today's Classrooms:	00
	Student-Teacher Interaction	89
	5.5. Trends and Challenges	90
	5.5.1. The Boy Crisis	90
	5.5.2. The Rebirth of Single-Sex Education	92
	5.5.3. Supporting Lesbian, Gay, Bisexual, and Transgender Students (LGBT)	94
	5.6. Strategies for Creating Gender-Fair Classrooms	95
	Questions and Activities	96
	References	96
		70
6	Classrooms for Diversity: Rethinking Curriculum and Pedagogy	98
	by Mary Kay Thompson Tetreault	00
	6.1. Feminist Phase Theory	99
	6.2. Male-Defined Curriculum	99

	6.3. Contribution Curriculum	101
	6.4. Bifocal Curriculum	101
	6.5. Women's Curriculum	103
	6.6. Gender-Balanced Curriculum	106
	6.7. Changes in Traditional Ways of Teaching	107
	Sample Lessons	110
	Summary	113
	Questions and Activities	113
	References	113
7	Understanding and Supporting Gender Equity in Schools	115
	by Diane S. Pollard	
	7.1. Evolution of Thinking about Gender Equity	116
	7.2. Intersections of Gender and Other Statuses	117
	7.2.1. Concepts of Gender	117
	7.2.2. Gender and Diversity	118
	7.2.3. Within-Group Heterogeneity	119
	7.2.4. Gender Equity and Diversity: Implications for Education	120
	7.3. Teacher Perceptions and Expectations	121
	7.4. Classroom Experiences of Females	
	and Males from Diverse Populations	122
	7.5. Supporting Gender Equity among Diverse Populations	125
	7.5.1. Strategy 1: Confronting and Addressing Stereotyping,	105
	Discrimination, and Systemic Oppression	125
	7.5.2. Strategy 2: Obtaining Knowledge about Cultures,	126
	Statuses, and Intersections 7.5.3. Strategy 3: Building on Students' Assets and Strengths	120
	7.5.4. Strategy 4: Increasing the Number of Female and	127
	Male Teachers Who Represent Diversity in Race, Ethnicity,	
	Disability, Sexuality, and Other Statuses	128
	7.6. Conclusions	128
	Questions and Activities	129
	References	129
8	Queer Lessons: Sexual and Gender Minorities in	
0	Multicultural Education	132
	by Cris Mayo	
	8.1. Sexuality and Gender Identity	133
	8.2. LGBTQ Issues and the School Curriculum	134

8.3. Overlapping Histories of Multiculturalism	
and LGBTQ Movements	135
8.4. Histories of Gay-Inclusive Multiculturalism and Other	
Curricular Inclusiveness	137
8.5. Challenges to Homophobia and Heterosexism	138
8.6. Challenging Assumptions about LGBTQ People	139
8.7. Why Homophobia?	142
8.8. Dilemmas of Queer Inclusion	144
8.9. Seven Things to Do to Improve Education	
for Students of All Sexual Orientations and Genders	145
Questions and Activities	146
References	146
Part 4 Race, Ethnicity, and Language	149
9 Approaches to Multicultural Curriculum Reform	151
by James A. Banks	
9.1. The Mainstream-Centric Curriculum	151
9.2. Public Sites and Popular History	153
9.3. Efforts to Establish a Multicultural Curriculum	154
9.4. Levels of Integration of Multicultural Content	155
9.4.1. The Contributions Approach	155
9.4.2. The Additive Approach	157
9.4.3. The Transformation Approach	159
9.4.4. The Social Action Approach	161
9.4.5. Mixing and Blending Approaches	163
9.5. Guidelines for Teaching Multicultural Content	166
Summary	167
Questions and Activities	168
References	168
10 Backstage Racism: Implications for Teaching	171
by Leslie H. Picca and Ruth Thompson-Miller	
10.1. Context	172
10.2. Methodology	172
10.3. Journals by White Students	173
10.3.1. The Frontstage	174
10.3.2. The Backstage	175
10.4. Journals by Students of Color	178

xii Contents

	10.5. Comparing the Journals Written by Whites	
	and Students of Color	181
	10.6. Conclusion and Next Actionable Steps	182
	Journal Exercise	184
	How Do I Do This?	184
	Questions and Activities	185
	References	186
11	Language Diversity and Schooling	188
	by Manka M. Varghese	
	11.1. The Immigrant Population in the United States	189
	11.2. Dramatic Increase in Linguistic Diversity in Schools	192
	11.3. Additional Sources of Linguistic Diversity:	
	Dialect Variation and Indigenous Languages	192
	11.4. Historical and Legal Overview of Language Policy	
	in the United States	193
	11.5. Implementation of Federal Policy	193
	11.6. Language Policy in Recent History	195
	11.7. Programmatic Responses to Linguistic Diversity	196
	11.8. Instructional Programs	197
	11.9. The Bilingual Debate and the Research Context	197
	11.10. Program Types That Contribute to Successful	
	Educational Practice	198
	11.11. The Lived Reality of Today's Linguistically	
	Diverse Students	199
	11.12. Views on Language Learning and Teaching	199
	11.12.1. Language	200
	11.12.2. Language Learners	200
	11.12.3. Age	200
	11.12.4. First Language	201
	11.13. Language Learning and Teaching	201
	11.13.1. Theories of Second-Language Learning	201
	11.13.2. Instructional Methods and Approaches	203
	11.13.3. Instructional Strategies and Contexts for Learning	203
	11.14. Conclusion	205
	Questions and Activities	205
	Resources	206
	Professional Associations	206
	Websites	206
	References	206

Par	t 5 Exceptionality	211
12	Educational Equality for Students with Disabilities	213
	by Sara C. Bicard and William L. Heward	
	12.1. Identification of Students with Disabilities	214
	12.2. Is Disability a Social Construct?	215
	12.3. How Many Students with Disabilities Are There?	216
	12.4. How Are Students with Disabilities Classified?	217
	12.5. How Is Eligibility for Special Education Determined?	218
	12.6. How Does Classification Affect Instruction?	218
	12.7. History of Educational Equality for Students with Disabilities 12.8. The Individuals with Disabilities Act:	218
	A Legislative Mandate for Educational Equality	
	for Students with Disabilities	220
	12.8.1. Major Principles of the Individuals with	
	Disabilities Education Act	220
	12.8.2. Section 504 of the Rehabilitation Act of 1973	225
	12.8.3. The Americans with Disabilities Act	225
	12.8.4. The No Child Left Behind Act	225
	12.9. Educational Equality for Students with	
	Disabilities: Progress Made but Challenges Remain	226
	12.9.1. Effective Instruction	226
	12.9.2. General and Special Education Partnership	227
	12.9.3. Early Intervention	228
	12.9.4. Transition from School to Adult Life	228
	12.9.5. Special Education in a Diverse Society	229
	Summary	231
	Questions and Activities	231
	References	231
3	Culturally Responsive Special Education in Inclusive Schools	235
	by Luanna H. Meyer, Hyun-Sook Park, Jill M. Bevan-Brown, and Catherine Savage	
	13.1. Special Education as Exclusion	236
	13.2. Special Education and Segregation	236
	13.3. Strategies to Prevent Misdiagnosis	
	and Disproportionality	238
	13.4. The Monoculture of Mainstream Education	239
	13.5. Parent Participation and Working with Families	240

13.6.	Causes of Limited Parental Involvement	241
13.7.	A Mismatch: Special Education and Families	242
13.8.	Strategies to Increase Parental Participation	243
	13.8.1. Preparation of Professionals for Partnerships with Parents	244
	13.8.2. Preparation of Parents for Partnerships	
	with Educators	244
13.9.	Culturally Competent Teachers and Inclusive Pedagogies	245
13.10.	Preintervention Culturally Responsive Teaching	245
13.11.	Culturally Responsive Interventions	246
13.12.	Culturally Situated Schooling and Inclusive Pedagogies	248
13.13.	Quality Inclusive Schools	248
13.14.	Delivery of Special Education in the	
	Context of General Education	249
13.15.	Inclusive Schools and Teacher Education	250
13.16.	Managing Inclusive Classrooms	250
13.17.	Diversity and Caring Communities:	
	Outcomes for the Social Good	251
Ques	tions and Activities	252
Refer	rences	252
	chool Reform and Classroom Assessment	257
Scho	ol Reform and Student Learning: A	
Scho		257 258
Scho icultu	ol Reform and Student Learning: A	
Scho icultu	ol Reform and Student Learning: A ıral Perspective	
Scho icultu by Son 14.1.	ol Reform and Student Learning: A Iral Perspective nia Nieto and Patty Bode	258
Scho icultu by Son 14.1. 14.2.	ol Reform and Student Learning: A Iral Perspective nia Nieto and Patty Bode School Reform with a Multicultural Perspective	258
Scho icultu by Sor 14.1. 14.2.	ol Reform and Student Learning: A iral Perspective nia Nieto and Patty Bode School Reform with a Multicultural Perspective Conditions for Systemic School Reform with	258 260
Scho icultu by Son 14.1. 14.2. 14.3.	ol Reform and Student Learning: A Iral Perspective Nia Nieto and Patty Bode School Reform with a Multicultural Perspective Conditions for Systemic School Reform with a Multicultural Perspective	258 260 261
Scho icultu by Sor 14.1. 14.2. 14.3. 14.4.	ol Reform and Student Learning: A Iral Perspective Nia Nieto and Patty Bode School Reform with a Multicultural Perspective Conditions for Systemic School Reform with a Multicultural Perspective School Reform Should Be Antiracist and Antibiased	258 260 261
Scho icultu 14.1. 14.2. 14.3. 14.4.	ol Reform and Student Learning: A Iral Perspective Nia Nieto and Patty Bode School Reform with a Multicultural Perspective Conditions for Systemic School Reform with a Multicultural Perspective School Reform Should Be Antiracist and Antibiased School Reform Should Reflect an Understanding and Acceptance of All Students as Having Talents and Strengths that Can Enhance Their Education	258 260 261
Scho icultu 14.1. 14.2. 14.3. 14.4. 14.5.	ol Reform and Student Learning: A Iral Perspective National Patty Bode School Reform with a Multicultural Perspective Conditions for Systemic School Reform with a Multicultural Perspective School Reform Should Be Antiracist and Antibiased School Reform Should Reflect an Understanding and Acceptance of All Students as Having Talents and Strengths that Can Enhance Their Education School Reform Should Be Considered within	258 260 261 262
Scho icultu by Sor 14.1. 14.2. 14.3. 14.4. 14.5.	ol Reform and Student Learning: A Iral Perspective Na Nieto and Patty Bode School Reform with a Multicultural Perspective Conditions for Systemic School Reform with a Multicultural Perspective School Reform Should Be Antiracist and Antibiased School Reform Should Reflect an Understanding and Acceptance of All Students as Having Talents and Strengths that Can Enhance Their Education School Reform Should Be Considered within the Parameters of Critical Pedagogy	258 260 261 262
Scho icultu by Sor 14.1. 14.2. 14.3. 14.4. 14.5.	ol Reform and Student Learning: A Iral Perspective National Patty Bode School Reform with a Multicultural Perspective Conditions for Systemic School Reform with a Multicultural Perspective School Reform Should Be Antiracist and Antibiased School Reform Should Reflect an Understanding and Acceptance of All Students as Having Talents and Strengths that Can Enhance Their Education School Reform Should Be Considered within	258 260 261 262 265 265
Scho icultu 14.1. 14.2. 14.3. 14.4. 14.5. 14.6.	ol Reform and Student Learning: A Iral Perspective Naia Nieto and Patty Bode School Reform with a Multicultural Perspective Conditions for Systemic School Reform with a Multicultural Perspective School Reform Should Be Antiracist and Antibiased School Reform Should Reflect an Understanding and Acceptance of All Students as Having Talents and Strengths that Can Enhance Their Education School Reform Should Be Considered within the Parameters of Critical Pedagogy A Study of Identity, Struggle, and Resilience at SCotA	258 260 261 262 265
Scho icultu by Sor 14.1. 14.2. 14.3. 14.4. 14.5. 14.6. 14.7.	ol Reform and Student Learning: A Iral Perspective <i>nia Nieto and Patty Bode</i> School Reform with a Multicultural Perspective Conditions for Systemic School Reform with a Multicultural Perspective School Reform Should Be Antiracist and Antibiased School Reform Should Reflect an Understanding and Acceptance of All Students as Having Talents and Strengths that Can Enhance Their Education School Reform Should Be Considered within the Parameters of Critical Pedagogy A Study of Identity, Struggle, and Resilience at SCotA The People Most Intimately Connected with Teaching and	258 260 261 262 265 265
Scho icultu by Sor 14.1. 14.2. 14.3. 14.4. 14.5. 14.6. 14.7.	ol Reform and Student Learning: A Iral Perspective mia Nieto and Patty Bode School Reform with a Multicultural Perspective Conditions for Systemic School Reform with a Multicultural Perspective School Reform Should Be Antiracist and Antibiased School Reform Should Reflect an Understanding and Acceptance of All Students as Having Talents and Strengths that Can Enhance Their Education School Reform Should Be Considered within the Parameters of Critical Pedagogy A Study of Identity, Struggle, and Resilience at SCotA The People Most Intimately Connected with Teaching and Learning (Teachers, Families, and Students) Need to Be	258 260 261 262 265 267 268
Scho icultu 14.1. 14.2. 14.3. 14.4. 14.5. 14.6. 14.7.	ol Reform and Student Learning: A Iral Perspective <i>tia Nieto and Patty Bode</i> School Reform with a Multicultural Perspective Conditions for Systemic School Reform with a Multicultural Perspective School Reform Should Be Antiracist and Antibiased School Reform Should Reflect an Understanding and Acceptance of All Students as Having Talents and Strengths that Can Enhance Their Education School Reform Should Be Considered within the Parameters of Critical Pedagogy A Study of Identity, Struggle, and Resilience at SCotA The People Most Intimately Connected with Teaching and Learning (Teachers, Families, and Students) Need to Be Meaningfully Involved in School Reform	258 260 261 262 265 265
Scho icultu by Sor 14.1. 14.2. 14.3. 14.4. 14.5. 14.6. 14.7.	ol Reform and Student Learning: A Iral Perspective <i>thia Nieto and Patty Bode</i> School Reform with a Multicultural Perspective Conditions for Systemic School Reform with a Multicultural Perspective School Reform Should Be Antiracist and Antibiased School Reform Should Reflect an Understanding and Acceptance of All Students as Having Talents and Strengths that Can Enhance Their Education School Reform Should Be Considered within the Parameters of Critical Pedagogy A Study of Identity, Struggle, and Resilience at SCotA The People Most Intimately Connected with Teaching and Learning (Teachers, Families, and Students) Need to Be Meaningfully Involved in School Reform School Reform Needs to Be Based on	258 260 261 262 265 267 268
Scho icultu by Sor 14.1. 14.2. 14.3. 14.4. 14.5. 14.6. 14.7. 14.8.	ol Reform and Student Learning: A Iral Perspective <i>tia Nieto and Patty Bode</i> School Reform with a Multicultural Perspective Conditions for Systemic School Reform with a Multicultural Perspective School Reform Should Be Antiracist and Antibiased School Reform Should Reflect an Understanding and Acceptance of All Students as Having Talents and Strengths that Can Enhance Their Education School Reform Should Be Considered within the Parameters of Critical Pedagogy A Study of Identity, Struggle, and Resilience at SCotA The People Most Intimately Connected with Teaching and Learning (Teachers, Families, and Students) Need to Be Meaningfully Involved in School Reform	258 260 261 262 265 267 268

	14.9. Conclusion	271
	Questions and Activities	271
	References	272
15	Communities, Families, and Educators Working Together	
	for School Improvement	275
	by Cherry A. McGee Banks	
	15.1. Reasons That Parent and Family Involvement	
	in Schools Is Important	277
	15.2. Historical Overview	279
	15.3. The Changing Face of the Family	279
	15.4. Parents with Special Needs	282
	15.5. Single Parents	283
	15.6. Low-Income Parents	283
	15.7. Teacher Concerns with Parent and Family Involvement	284
	15.8. Steps to Increase Parent and Family Involvement	285
	15.9. Establish Two-Way Communication between the School	
	and the Home	285
	15.10. Enlist Support from Other Staff Members	
	and Students	286
	15.11. Enlist Support from the Community	288
	15.12. Develop Learning Resources for Parents	
	to Use at Home	289
	15.13. Broaden the Conception of Parent and Community Involvement	289
	15.13.1. Parents Working with Their Own Children	290
	15.13.2. Professional Support Person for Instruction	290
	15.13.3. General Volunteers	291
	15.13.4. Decision-Makers	291
	Summary	292
	Questions and Activities	292
	Internet Resources For Information on Parent Involvement	292
	References	293
16	Classroom Assessment and Diversity	295
	by Catherine S. Taylor and Susan B. Nolen	
	16.1. Bias and Sensitivity Issues in Assessment	296
	16.2. Lessons Learned from a Bias and Sensitivity Review Panel	298
	16.2.1. "Othering"	298
	16.2.2. Consistency with Culture	298
	16.2.3. Developing Interpretations	299
	16.2.4. Culturally Inappropriate Tasks	299

xvi Contents

16.3. Investigating Potential Bias through	
Statistical Analyses	300
16.4. The Effects of Engagement on	
Assessment Performance	301
16.5. The Social Context of Assessment	303
16.6. Teacher Assessment Practices	304
Summary	306
Questions and Activities	306
References	306
Appendix: Multicultural Resources	309
Glossary	315
Contributors	321
Index	325

Preface

Racial, cultural, ethnic, linguistic, and religious diversity—which has increased in the United States as well as in other nations since the eighth edition of this book was published—presents both opportunities and challenges to educators. The challenges of diversity within recent years were manifested by the persistent conflicts between police officers and communities of color in the United States and by the tragic events in France in January 2015. Seventeen people lost their lives in conflicts that resulted from many complex factors related to cultural and religious diversity as well as to social class—including the depiction of the Prophet Muhammad in a French satirical magazine but also from the alienation and structural exclusion of Muslim youth within French society (Erlanger, 2015). Diversity is also a source of population rejuvenation, innovation, and economic vitality within a nation. Educators in multicultural nation-states in the 21st century need to construct creative and novel ways to actualize the strengths of diversity while working to resolve its challenges.

Diversity continues to increase in the United States. The 2013 American Community Survey reveals that the United States is becoming increasingly non-White because the growth in the population of people of color is outpacing the growth of the non-Hispanic White population. Most of the increase in the population of the United States that occurred between 2009 and 2013 resulted from the increasing Latino population. There were approximately 54 million Latinos living in the United States in 2013, which was approximately 17 percent of U.S. residents (U.S. Census Bureau, 2014). While the population of people of color increased substantially between 2000 and 2013, the non-Hispanic White population decreased from 69 to 63 percent of the nation's population. Ethnic minorities made up more than 92 percent of the growth of the U.S. population between 2009 and 2013. While the population of Latinos and Asians increased significantly between 2000 and 2013, the African American population increased only slightly, from 12.3 to 13.8 percent of the U.S. population (U.S. Census Bureau, 2014). The U.S. Census Bureau projects that ethnic minorities will increase from 37.6 percent of the nation's population in 2013 to 57 percent in 2060. Ethnic minorities made up 118 million of the total U.S. population of 316 million in 2013 (U.S. Census Bureau, 2012, 2014).

Students who speak a language other than English at home are the fastest-growing segment of the U.S. student population, making up approximately 21 percent of the school-age population in 2013 (U.S. Census Bureau, 2014). A significant percentage of these students have undocumented parents or are themselves undocumented (Peréz, 2011; Yoshikawa, 2011). Yet most of the nation's teachers are White, female, middle-class (or aspiring to the middle class), and monolingual. There is a wide and growing ethnic, cultural, social-class, and linguistic gap between many of the nation's teachers and their students. Teachers are faced with both the challenges and opportunities of dealing with diversity creatively and constructively in their classrooms and schools.

The social-class divide within U.S. society is widening, and the percentage of students who are poor in the nation's schools is increasing (Murray, 2012; Stiglitz, 2012). A report by the Southern Education Foundation (2013) indicates that 51 percent of students in U.S. public schools were eligible for free or reduced-priced lunches in 2013, which means that they lived in low-income families. Consequently, in designing effective instructional programs and interventions, teachers and other educators must also respond effectively to the ways in which race, class, gender, and social class interact to influence student behavior and learning.

Multicultural Education: Issues and Perspectives, ninth edition, is designed to help current and future educators acquire the concepts, paradigms, and explanations needed to become effective practitioners in culturally, racially, linguistically, and social-class diverse classrooms and schools. An important goal of this book is to help teachers attain a sophisticated understanding of the concept of culture and to view race, class, gender, social class, and exceptionality as interacting concepts rather than as separate and distinct. Consequently, *intersectionality*—or how race, class, gender, and exceptionality are fluid variables that interact in complex ways—is an over-arching concept in this book (Caruthers & Carter, 2012; Grant & Zwier, 2012).

Teacher education programs should help teachers attain the knowledge, attitudes, and skills needed to work effectively with students from diverse groups as well as help students from mainstream groups develop cross-cultural knowledge, values, and competencies. The ninth edition of this book—which can help teachers to attain these goals—has been revised to reflect current and emerging research, theories, and practices related to the education of students from different cultural, racial, ethnic, language, gender, religious, and social-class groups. Exceptionality is part of our concept of diversity because there are exceptional students in each group discussed in this book.

Chapter 16, "Classroom Assessment and Diversity", is new to this ninth edition. All of the chapters from the previous edition have been revised to reflect new research, theories, census data, statistics, interpretations, and developments. Learning Objectives have been added to the beginning of each chapter in this edition. The Multicultural Resources in the Appendix have been substantially revised and updated. The Glossary has been revised to incorporate 2014 statistical data from the United States Census American Community Survey as well as new developments in the field. Two chapters from the eighth edition of this book do not appear in this paper edition but can be found on the online Web site for this book at Wiley.com. They are "Race, Class, Gender, and Disability in the Classroom", by Carl A. Grant and Christine E. Sleeter; and "Recruiting and Retaining Gifted Students from Different Ethnic, Cultural, and Language Groups", by Donna Y. Ford.

This book consists of six parts. The chapters in Part 1 discuss how race, gender, class, and exceptionality interact to influence student behavior. Social class and religion and their effects on education are discussed in Part 2. Part 3 describes how educational opportunity differs for female and male students and how schools can foster gender equity as well as create safe educational environments for lesbian, gay, bisexual, and transgender (LGBT) students. Chapter 7 describes how race and gender are interacting rather than separate and discrete variables. The issues, problems, and opportunities for educating students of color and students with language differences are discussed in Part 4. Chapter 10, which focuses on racism in the "backstage" and "frontstage," describes ways in which racism is manifested in the "backstage" in what some commentators are describing as a postracial period in the United States. Part 5 focuses on exceptionality, describing the issues involved in creating equal educational opportunity for students who have disabilities and for those who are gifted. The final part—Part 6—discusses multicultural education as a process of school reform, ways to increase student academic achievement by working effectively with parents, and classroom assessment and diversity. The Appendix consists of a list of books for further reading and a Glossary that defines many of the key concepts and terms used throughout this book.

Acknowledgments

We are grateful to a number of colleagues who helped with the preparation of this ninth edition. First, we would like to thank the authors, who revised their chapters in a timely and professional way. We would also like to thank Catherine S. Taylor and Susan B. Nolen, two of our colleagues at the University of Washington, for taking time from their busy schedules to write the chapter "Classroom Assessment and Diversity", for this ninth edition. We would like to thank the following individuals who helped us revise the list of Multicultural Resources that is included in the Appendix:

Sara C. Bicard, Auburn University, Montgomery, Alabama

Douglas Cheney, University of Washington, Seattle

Donna Ford, Vanderbilt University

Khyati Joshi, Fairleigh Dickinson University

Sara Schneider Kavanagh, Stanford University

Charles Lippy, University of Tennessee at Chattanooga

Cris Mayo, University of Illinois at Urbana-Champaign

Luanna Meyer, Victoria University, Wellington, New Zealand

Diana S. Pollard, University of Wisconsin at Milwaukee

David Sadker, American University and University of Arizona

Federico R. Waitoller, University of Illinois at Chicago

Lois Weis, University of Buffalo

Karen Zimmerman, American University

We also thank Yiting Chu and Tao Wang, research assistants at the Center for Multicultural Education at the University of Washington, for helping to update the statistics in this ninth edition as well as for other editorial and research assistance during the preparation of the manuscript of this edition. We wish to acknowledge Kimberly McKaig for her help with the proofreading of this edition.

We hope the contributors to this ninth edition as well as the scholars who helped us bring it to fruition in other ways will take pride in it. Reading and editing the chapters for this ninth edition have enriched us.

> James A. Banks Cherry A. McGee Banks

References

- Caruthers, J., & Carter, P. L. (2012). Intersectionality of race, class, gender, and ethnicity. In J. A. Banks (Ed.), *Encyclopedia of diversity in education* (vol. 2 pp. 1270–1272). Thousand Oaks, CA: Sage Publications.
- Erlanger, S. (2015, February 3). France's ideals, forged in revolution, face a modern test. *The New York Times*. Retrieved from http://www.nytimes. com/2015/02/03/world/frances-ideals-forged-in-revolution-face -a-modern-test.html
- Grant, C. A., & Zwier, E. (2012). Intersectionality and education. In J. A. Banks (Ed.), *Encyclopedia of diversity in education* (vol. 2, pp. 1262–1270). Thousand Oaks, CA: Sage.
- Murray, C. (2012). Coming apart: The state of White America, 1960–2010. New York: Crown Forum.
- Peréz, W. (2011). Americans by heart: Undocumented Latino students and the promise of higher education. New York: Teachers College Press.
- Stiglitz, J. E. (2012). The price of inequality: How today's divided society endangers our future. New York: Norton.

- Southern Education Foundation. (2013, January). A new majority: Lowincome student now a majority in the nation's public schools. Retrieved from http://www.southerneducation.org/Our-Strategies/Research-and -Publications/New-Majority-Diverse-Majority-Report-Series/A-New -Majority-2015-Update-Low-Income-Students-Now.
- U.S. Census Bureau. (2012, December). U.S. Census Bureau projections show a slower growing, older, more diverse nation a half century from now. Retrieved from http://www.census.gov/newsroom/releases/archives /population/cb12-243.html.
- U.S. Census Bureau. (2014). 2013 American community survey. Retrieved from http://factfinder.census.gov/faces/tableservices/jsf/pages/product view.xhtml?pid=ACS_13_5YR_DP05&prodType=table.
- Yoshikawa, H. (2011). Immigrants raising citizens: Undocumented parents and their young children. New York: Russell Sage Foundation.

Issues and Concepts



The two chapters in Part 1 define the major concepts and issues in multicultural education, describe the diverse meanings of culture, and describe the ways in which such variables as race, class, gender, and exceptionality influence student behavior. Various aspects and definitions of culture are discussed. Culture is conceptualized as a dynamic and complex process of construction; its invisible and implicit characteristics are emphasized. The problems that result when culture is essentialized are described.

Multicultural education is an idea, an educational reform movement, and a process whose major goal is to change the structure of educational institutions so that male and female students, exceptional students, and students who are members of diverse racial, ethnic, language, cultural, and religious groups will have an equal opportunity to achieve academically in school. It is necessary to conceptualize the school as a social system in order to implement multicultural education successfully. Each major variable in the school—such as its culture, its power relationships, the curriculum and materials, and the attitudes and beliefs of the staff—must be changed in ways that will allow the school to promote educational equality for students from diverse groups.

To transform the schools, educators must be knowledgeable about the influence of particular groups on student behavior. The chapters in this part of the book describe the nature of culture and groups in the United States as well as the ways in which they interact to influence student behavior.





Multicultural Education: Characteristics and Goals

James A. Banks

LEARNING OBJECTIVES

- 1. Name the three major components of multicultural education.
- 2. List the characteristics of the macroculture and microcultures in the United States.
- 3. Explain how race, class, and gender interact to influence student behavior.
- 4. Name and describe the five dimensions of multicultural education.

1.1 The Nature of Multicultural Education

Multicultural education is at least three things: an idea or concept, an educational reform movement, and a process. Multicultural education incorporates the idea that all students—regardless of their gender; sexual orientation; social class; and ethnic, racial, or cultural characteristics should have an equal opportunity to learn in school. Another important idea in multicultural education is that some students, because of these characteristics, have a better chance to learn in schools as they are currently structured than do students who belong to other groups or who have different cultural characteristics.

Some institutional characteristics of schools systematically deny some groups of students equal educational opportunities. For example, in the early grades, girls and boys achieve equally in mathematics and science. However, at advanced levels of mathematics, boys score higher on tests such as the SAT college entrance examination (Boaler & Sengupta-Irving, 2012). Girls are less likely than boys to participate in class discussions and to be encouraged by teachers to participate. Girls are more likely than boys to be silent in the classroom. However, not all school

practices favor males. As Sadker and Zittleman point out in Chapter 5, boys are more likely to be disciplined than girls, even when their behavior does not differ from that of girls. They are also more likely than girls to be classified as learning disabled (Cortiella & Horowitz, 2014). Males of color, especially African American males, experience a highly disproportionate rate of disciplinary actions and suspensions in school. Some scholars, such as Howard (2014), have described the serious problems that African American males experience in school and in the wider society. Women outpace men in graduation rates both from high school and from colleges and universities. The percentage of bachelor's degrees earned by women increased from 24 percent in 1950 to 57 percent in 2013 (National Center for Education Statistics, 2014).

In the early grades, the academic achievement of students of color, such as African Americans, Latinos, and American Indians, is close to parity with the achievement of White mainstream students (Steele, 2003). However, the longer these students of color remain in school, the more their achievement lags behind that of White mainstream students. Social-class status is also strongly related to academic achievement. Weis, in Chapter 3—as well as Knapp and Yoon (2012)—describe the powerful ways in which social class influences students' opportunities to learn.

Exceptional students, whether they are physically or mentally disabled or gifted and talented, often find that they do not experience equal educational opportunities in the schools. The chapters in Part 5 describe the problems that exceptional students experience in schools and suggest ways that teachers and other educators can increase their chances for educational success.

Multicultural education is also a reform movement that is trying to change the schools and other educational institutions so that students from all social-class, gender, racial, language, and cultural groups will have equal opportunities to learn. Multicultural education involves changes in the total school or educational environment; it is not limited to curricular changes (Banks, 2015; Banks & Banks, 2004). The variables in the school environment that multicultural education tries to transform are discussed later in this chapter and illustrated in Figure 1.5. Multicultural education is also a process whose goals will never be fully realized.

Educational equality, such as liberty and justice, is an ideal toward which human beings work but which they never fully attain. Racism, sexism, heterosexism, and ableism (disability discrimination) will exist to some extent no matter how hard we work to eliminate these problems. When prejudice and discrimination are reduced toward one group, they are usually directed toward another group or take new forms. Whenever groups are identified and labeled, *categorization* occurs. When categorization occurs, members of in-groups favor in-group members and discriminate against out-groups (Bigler & Hughes, 2009). This process can occur without groups having a history of conflict, animosity, or competition, and without having physical differences or any other kind of important difference. Social psychologists call this process *social identity theory* or the *minimal group paradigm* (Rothbart & John, 1993). Because the goals of multicultural education can never be fully attained, we should work continuously to increase educational equality for all students. Multicultural education must be viewed as an ongoing process, not as something that we "do" and thereby solve the problems that are the targets of multicultural educational reform.

1.2 The Historical Development of Multicultural Education

Multicultural education grew out of the ferment of the civil rights movement of the 1960s. During this decade, African Americans embarked on a quest for their rights that was unprecedented in the United States. A major goal of this movement was to eliminate discrimination in public accommodations, housing, employment, and education. Its consequences had a significant influence on educational institutions as ethnic groups—first African Americans and then other

4

groups—demanded that the schools and other educational institutions reform curricula to reflect their experiences, histories, cultures, and perspectives. Ethnic groups also demanded that the schools hire more Black and Brown teachers and administrators so that their children would have more successful role models. Ethnic groups pushed for community control of schools in their neighborhoods and for the revision of textbooks to make them reflect the diversity of peoples in the United States.

The first responses of schools and educators to the ethnic movements of the 1960s were hurried (Banks, 2006, 2015). Courses and programs were developed without the thought and careful planning needed to make them educationally sound or to institutionalize them within the educational system. Holidays and other special days, ethnic celebrations, and courses that focused on one ethnic group were the dominant characteristics of school reforms related to ethnic and cultural diversity during the 1960s and early 1970s. Grant and Sleeter (2013) call this approach "single-group studies." The ethnic studies courses developed and implemented during this period were usually electives and were taken primarily by students who were members of the group that was the subject of the course.

The visible success of the civil rights movement, plus growing rage and a liberal national atmosphere, stimulated other marginalized groups to take actions to eliminate discrimination against them and to demand that the educational system respond to their needs, aspirations, cultures, and histories. The women's rights movement emerged as one of the most significant social reform movements of the 20th century (Brewer, 2012). During the 1960s and 1970s, discrimination against women in employment, income, and education was widespread and often blatant. The women's rights movement articulated and publicized how discrimination and institutionalized sexism limited the opportunities of women and adversely affected the nation. The leaders of this movement, such as Betty Friedan and Gloria Steinem, demanded that political, social, economic, and educational institutions act to eliminate sex discrimination and provide opportunities for women to actualize their talents and realize their ambitions. Major goals of the women's rights movement included offering equal pay for equal work, eliminating laws that discriminated against women and made them second-class citizens, hiring more women in leadership positions, and increasing the participation of men in household work and child rearing.

When *feminists* (people who work for the political, social, and economic equalities of the sexes) looked at educational institutions, they noted problems similar to those identified by ethnic groups of color. Textbooks and curricula were dominated by men; women were largely invisible. Feminists pointed out that history textbooks were dominated by political and military history—areas in which men had been the main participants (Trecker, 1973). Social and family history and the history of labor and ordinary people were largely ignored. Feminists pushed for the revision of textbooks to include more history about the important roles of women in the development of the United States and the world. They also demanded that more women be hired for administrative positions in the schools. Although most teachers in the elementary schools were women, most administrators were men.

Other marginalized groups, stimulated by the social ferment and the quest for human rights during the 1970s, articulated their grievances and demanded that institutions be reformed so they would face less discrimination and acquire more human rights. People with disabilities, senior citizens, and gays and lesbians formed groups that organized politically during this period and made significant inroads in changing institutions and laws. Advocates for citizens with disabilities attained significant legal victories during the 1970s. The Education for All Handicapped Children Act of 1975 (PL. 94-142)—which required that students with disabilities be educated in the least restricted environment and institutionalized the word *mainstreaming* in education—was perhaps the most significant legal victory of the movement for the rights of students with disabilities in education (see Chapters 12 and 13).

5

1.2.1 How Multicultural Education Developed

Multicultural education emerged from the diverse courses, programs, and practices that educational institutions devised to respond to the demands, needs, and aspirations of the various groups. Consequently, multicultural education in actual practice is not one identifiable course or educational program. Rather, practicing educators use the term *multicultural education* to describe a wide variety of programs and practices related to educational equity, women, ethnic groups, language minorities, low-income groups, LGBT (lesbian, gay, bisexual, and transgender) people, and people with disabilities. In one school district, multicultural education may mean a curriculum that incorporates the experiences of ethnic groups of color; in another, a program may include the experiences of both ethnic groups and women. In a third school district, this term may be used the way it is by me and by other authors, such as Nieto and Bode (2012) and Grant and Sleeter (2013)—that is, to mean a total school reform effort designed to increase educational equity for a range of cultural, ethnic, and income groups. This broader and more comprehensive notion of multicultural education is discussed in the last part of this chapter. It differs from the limited concept of multicultural education in which it is viewed as curriculum reform.

1.3 The Nature of Culture in the United States

The United States, like other Western nation-states such as the United Kingdom, Australia, and Canada, is a multicultural society. The United States consists of a shared core culture as well as many subcultures. In this book, we call the larger shared core culture the *macroculture*; the smaller cultures, which are a part of the core culture, are called *microcultures*. It is important to distinguish the macroculture from the various microcultures because the values, norms, and characteristics of the mainstream (macroculture) are frequently mediated by, as well as interpreted and expressed differently within, various microcultures. These differences often lead to cultural misunderstandings, conflicts, and institutionalized discrimination.

Students who are members of certain cultural, religious, and ethnic groups are sometimes socialized to act and think in certain ways at home but differently at school (Au, 2011). In her studies of African American students and families in Trackton, a working-class community in the Piedmont Carolinas, Heath (1983, 2012) found that the pattern of language use in school was very different from the pattern used at home. At home, most of the children's interaction with adults consisted of imperatives or commands. At school, questions were the dominant form of interaction between teachers and students. A challenge that multicultural education faces is how to help students from diverse groups mediate between their home and community cultures and the school culture. Students should acquire the knowledge, attitudes, and skills needed to function effectively in each cultural setting. They should also be competent to function within and across other microcultures in their society, within the national macroculture, and within the world community (Banks, 2015).

1.3.1 The Meaning of Culture

Bullivant (1993) defines *culture* as a group's program for survival in and adaptation to its environment. The cultural program consists of knowledge, concepts, and values shared by group members through systems of communication. Culture also consists of the shared beliefs, symbols, and interpretations within a human group. Most social scientists today view culture as consisting primarily of the symbolic, ideational, and intangible aspects of human societies. The essence of a culture is not its artifacts, tools, or other tangible cultural elements but how the

members of the group interpret, use, and perceive them. It is the values, symbols, interpretations, and perspectives that distinguish one person from another in modernized societies; it is not material objects and other tangible aspects of human societies (Erickson, 2012). People in a culture usually interpret the meanings of symbols, artifacts, and behaviors in the same or in similar ways.

1.3.2 Identification and Description of the U.S. Core Culture

The United States, like other nation-states, has a shared set of values, ideations, and symbols that constitute the core or overarching culture. This culture is shared to some extent by all of the diverse cultural and ethnic groups that make up the nation-state. It is difficult to identify and describe the overarching culture in the United States because it is such a diverse and complex nation. It is easier to identify the core culture within an isolated premodern society, such as the Maoris before the Europeans came to New Zealand, than within highly pluralistic, modernized societies, such as the United States, Canada, and Australia (Penetito, 2010).

When trying to identify the distinguishing characteristics of U.S. culture, one should realize that the political institutions in the United States, which reflect some of the nation's core values, were heavily influenced by the British. U.S. political ideals and institutions were also influenced by Native American political institutions and practices, especially those related to making group decisions, such as in the League of the Iroquois (Weatherford, 1988).

1.3.3 Equality

A key component in the U.S. core culture is the idea, expressed in the Declaration of Independence, that "all men are created equal, that they are endowed by their Creator with certain unalienable rights, that among these are life, liberty, and the pursuit of happiness." When this idea was expressed by the nation's founding fathers in 1776, it was considered radical. A common belief in the 18th century was that human beings were not born with equal rights—that some people had few rights and others, such as kings, had divine rights given by God. When considering the idea that "all men are created equal" is a key component of U.S. culture, one should remember to distinguish between a nation's ideals and its actual practices, as well as between the meaning of the idea when it was expressed in 1776 and its meaning today. When the nation's founding fathers expressed this idea, their conception of men was limited to White males who owned property (Foner, 1998). White men without property, White women, and all African Americans and Indians were not included in their notion of people who were equal or who had "certain unalienable rights."

Although the idea of equality expressed by the founding fathers in 1776 had a very limited meaning at that time, it has proved to be a powerful and important idea in the quest for human rights in the United States. Throughout the nation's history since 1776, marginalized and excluded groups such as women, African Americans, Native Americans, and other cultural and ethnic groups have used this idea to justify and defend the extension of human rights to them and to end institutional discrimination, such as sexism, racism, and discrimination against people with disabilities (Branch, 2006). As a result, human rights have gradually been extended to various groups throughout U.S. history. The extension of these rights has been neither constant nor linear. Rather, periods of the extension of rights have often been followed by periods of retrenchment and conservatism. Schlesinger (1986) calls these patterns "cycles of American history." The United States is still a long way from realizing the ideals expressed in the Declaration of Independence. However, these ideals remain an important part of U.S. culture and are still used by marginalized groups to justify their struggles for human rights and equality.

7

1.3.4 Individualism and Individual Opportunity

Two other important ideas in the common overarching U.S. culture are individualism and individual social mobility (Gorski, 2013; Stiglitz, 2012). Individualism as an ideal is extreme in the U.S. core culture. Individual success is more important than commitment to family, community, and nation-state. An individual is expected to achieve success solely by his/her own efforts. Many people in the United States believe that a person can go from rags to riches within a generation and that every American-born boy can, but not necessarily will, become president. Individuals are expected to achieve success by hard work and pull themselves up by their bootstraps. This idea was epitomized by fictional characters such as Ragged Dick, one of the heroes created by the popular writer Horatio Alger. Ragged Dick attained success by valiantly overcoming poverty and adversity. A related belief is that if a person does not succeed, it is because of his or her own shortcomings, such as being lazy or unambitious; failure is consequently the person's own fault. These beliefs are taught in the schools with success stories and myths about such U.S. heroes as George Washington, Thomas Jefferson, and Abraham Lincoln.

The beliefs about individualism in U.S. culture are related to the Protestant work ethic. This is the belief that hard work by the individual is morally good and that laziness is sinful. This belief is a legacy of the British Puritan settlers in colonial New England. It has had a powerful and significant influence on U.S. culture.

The belief in individual opportunity has proven tenacious in U.S. society. It remains strong in American culture despite the fact that individuals' chances for upward social, economic, and educational mobility in the United States are highly related to the social-class, ethnic, gender, and other ascribed groups to which they belong (Knapp & Yoon, 2012; Stiglitz, 2012). The findings of social science research, as well as the chapters in this book, document the extent of social-class stratification in the United States and the ways in which people's opportunities in life are strongly influenced by the groups to which they belong (Weis, 2008 Chapter 3, this book), yet the belief in individual opportunity remains strong in the United States.

1.3.5 Individualism and Groupism

Although the groups to which people belong have a major influence on their life chances in the United States, Americans—particularly those in the mainstream—are highly *individualistic* in their value orientations and behaviors. The nuclear family reinforces individualism in U.S. culture. One result of this strong individualism is that married children usually expect their older parents to live independently or in homes for senior citizens rather than with them. The strong individualism in U.S. culture contrasts sharply with the groupism and group commitment found in Asian nations, such as China and Japan (Butterfield, 1982; Reischauer, 1981). Individualism is viewed rather negatively in these societies. One is expected to be committed first to the family and group and then to oneself. Some U.S. social scientists, such as Lasch (1978) and Bellah, Madsen, Sullivan, Swidler, and Tipton (1985), lament the extent of individualism in U.S. society. They believe it is harmful to the common national culture. Some observers believe that groupism is too strong in China and Japan and that individualism should be more valued in those nations. Perhaps modernized, pluralistic nation-states can best benefit from a balance between individualism and groupism, with neither characteristic dominating.

1.3.6 Expansionism and Manifest Destiny

Other overarching U.S. values that social scientists have identified include the desire to conquer or exploit the natural environment, the focus on materialism and consumption, and the belief in the nation's inherent superiority, which is often referred to as "American exceptionalism." These beliefs justified Manifest Destiny and U.S. expansion to the West and into other nations and the annexation of one-third of Mexico's territory in 1848. These observations, which reveal the less positive side of U.S. national values, have been developed by social scientists interested in understanding the complex nature of U.S. society (Appleby, Hunt, & Jacob, 1994).

In his discussion of the nature of values in U.S. society, Myrdal contends that a major ethical inconsistency exists in U.S. society (Myrdal, Sterner, & Rose, 1944/1962). He calls this inconsistency "the American dilemma." He states that American creed values, such as equality and human dignity, exist in U.S. society as ideals. However, they exist alongside the institutionalized discriminatory treatment of African Americans and other ethnic and cultural groups in U.S. society. This variance creates a dilemma in the American mind because Americans try to reconcile their democratic ideals with their treatment of marginalized groups. Myrdal states that this dilemma has been an important factor that has enabled ethnic groups to fight discrimination effectively. In their efforts to resolve their dilemma when the inconsistencies between their ideals and actions are pointed out to them by human rights advocates, Americans, according to Myrdal, often support the elimination of practices that are inconsistent with their democratic ideals or the American creed. Some writers have refuted Myrdal's hypothesis and contend that most individuals in the United States do not experience such a dilemma related to the gap between American ideals and racial discrimination (Ellison, 1995).

1.3.7 Microcultures in the United States

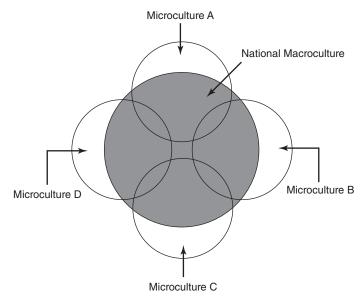
A nation as culturally diverse as the United States consists of a common overarching culture as well as a series of microcultures (see Figure 1.1). These microcultures share most of the core values of the nation-state, but these values are often mediated by the various microcultures and are interpreted differently within them. Microcultures sometimes have values that are somewhat alien to the national core culture. Also, some of the core national values and behaviors may seem somewhat alien in certain microcultures or may take different forms.

FIGURE 1.1

Microcultures and the National Macroculture

The shaded area represents the national macroculture. A, B, C, and D represent microcultures that consist of unique institutions, values, and cultural elements that are nonuniversalized and are shared primarily by members of specific cultural groups. A major goal of the school should be to help students acquire the knowledge, skills, and attitudes needed to function effectively within the national macroculture, within their own microcultures, and within and across other microcultures.

Source: James A. Banks. (2015). *Cultural Diversity and Education: Foundations, Curriculum and Teaching*, 6th ed. (Boston: Pearson), p. 75. Used with the permission of the author.



The strong belief in individuality and individualism that exists within the national macroculture is often much less endorsed by some ethnic communities and is somewhat alien within them. Most African Americans and Latinos who have not experienced high levels of cultural assimilation into the mainstream culture are much more group oriented than are mainstream Americans. Schools in the United States are highly individualistic in their learning and teaching styles, evaluation procedures, and norms. Many students, particularly African Americans, Latinos, Native Americans, and Hawaiian Americans are group oriented (Au, 2011; Lee, 2006). These students experience problems in the school's highly individualistic learning environment. Teachers can enhance the learning opportunities of these students, who are also called field dependent or field sensitive, by using cooperative teaching strategies that have been developed and field-tested by researchers such as Slavin (2012) and Cohen and Lotan (2014).

Some theories and research indicate that female students may have preferred ways of knowing, thinking, and learning that differ to some extent from those most often preferred by males (Belenky, Clinchy, Goldberger, & Tarule, 1986; Halpern, 1986; Taylor, Gilligan, & Sullivan, 1995). Maher (1987) describes the dominant inquiry model used in social science as male constructed and dominated. She contends that the model strives for objectivity: "Personal feelings, biases, and prejudices are considered inevitable limitations" (p. 186). Feminist pedagogy is based on different assumptions about the nature of knowledge and results in a different teaching method. According to Maher and Tetreault (1994), feminist pedagogy enhances the learning of females and deepens the insight of males. In Chapter 6, Tetreault describes feminist pedagogy techniques she uses to motivate students and enhance their understandings.

After completing a major research study on women's ways of knowing, Belenky and colleagues (1986) concluded that conceptions of knowledge and truth in the core culture and in educational institutions "have been shaped throughout history by the male-dominated majority culture. Drawing on their own perspectives and visions, men have constructed the prevailing theories, written history, and set values that have become the guiding principles for men and women alike" (p. 5).

These researchers also found an inconsistency between the kind of knowledge most appealing to women and the kind that was emphasized in most educational institutions. Most of the women interviewed in the study by Belenky and her colleagues (1986) considered personalized knowledge and knowledge that resulted from firsthand observation most appealing. However, most educational institutions emphasize abstract, "out-of-context" knowledge. Ramírez and Castañeda (1974) found that Mexican American students who were socialized within traditional cultures also considered personalized and humanized knowledge more appealing than abstract knowledge. They also responded positively to knowledge that was presented in a humanized or story format.

Research by Gilligan (1982) provides some clues that help us better understand the findings by Belenky and her colleagues (1986) about the kind of knowledge women find most appealing. Gilligan describes caring, interconnection, and sensitivity to the needs of other people as dominant values among women and the female microculture in the United States. By contrast, she found that the values of men were more characterized by separation and individualism.

A major goal of multicultural education is to change teaching and learning approaches so that students of both genders and from diverse cultural, ethnic, and language groups will have equal opportunities to learn in educational institutions. This goal suggests that major changes should be made in the ways that educational programs are conceptualized, organized, and taught. Educational approaches need to be transformed in order to create effective multicultural classrooms and schools.

In her research on identifying and labeling students with mental retardation, Mercer (1973) found that a disproportionate number of African American and Mexican American students were labeled mentally retarded because the testing procedures used in intelligence tests "reflect the